



**Non-confidential Version for Member Associations to share with their members**

## Executive Summary

### 1. Governance

- The April Board meeting agreed new measures to reduce the impact of COVID-19 on the Scheme. These included a revised budget and the publication of a new standard for audit postponement and the use of remote audits for pharmaceutical excipients suppliers already EXCiPACT certified.

### 2. Finance

- The 2Q financial performance exceeded the April revised budget due to unbudgeted recertification and remote auditor training income. This trend is forecast to continue in 3Q as certification audits increase as the COVID-19 recedes in the EU and China.

### 3. Certification Bodies, Certificates and Auditors

- Press releases for 4 new certificates were issued and one certificate was voluntarily withdrawn due to company closure. Auditor numbers remain static at 33.

### 4. Quality and Training

- Preparation for our ISO 9001 certification audit later this year increased this quarter.
- New enquiries from large US pharma companies seeking alternative audit reports to the ones they would obtain themselves.
- A detailed comparison of Global GMP standards and guidelines for excipients is in preparation.
- Routine oversight of the Certification Scheme continued during the quarter.
- New version of the 2-day auditor training course converted into a 4 half-day remote training course and successfully delivered to 5 international auditors who all passed the exam.

### 5. Marketing

- New webinars delivered for global audiences and for US pharma companies.
- Chinese version of the new sales leaflet translated and delivered, including through WeChat.
- Promotion began for a seminar in DQS offices, Frankfurt on 25 November 2020.
- Global trademark strategy and updates successfully reviewed with new attorneys.
- Promotion to start in 3Q for the November US-based auditor training and seminar.
- Making Pharmaceuticals-UK postponed from October 2020 to July 2021.

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Reference	Cum at 31/12/2019	Cum at 31/03/2020	Cum at 30/06/2020
<b>Certification Bodies</b>			
Registered	7	7	7
Potential	0	0	2
<b>Auditors</b>			
Registered	32	33	33
Provisional	8	5	5
<b>Certificates</b>			
Issued	105	109	111
Pending	4	5	4
Withdrawn	3	3	5
<b>Webinars</b>			
Completed			3
Planned			1
<b>EU Awareness Training</b>			
Courses	2	2	2
Attendees	17	17	17
Planned	0	0	0
<b>Non-EU Awareness Training</b>			
Courses	1	1	1
Attendees	19	19	19
Planned	0	1	0
<b>EU Auditor Training (including Remote from 2020)</b>			
Courses	16	16	17
Attendees	166	166	171
Planned	2	2	1
<b>Non-EU Auditor Training</b>			
Courses	8	8	8
Attendees	66	66	66
Planned	0	0	1
<b>EU Seminars</b>			
Completed	2	2	2
Attendees	49	49	49
Planned	1	1	1
<b>Non-EU Seminars</b>			
Completed	4	4	4
Attendees	140	140	140
Planned	0	1	1